Does the holder have the right to require redeem or purchase the stock?

Consider the right or obligation in the boxes below.

Does the dividend rate vary (in whole or in part, directly or indirectly) with reference to interest rates, commodity prices, or other similar indices?

Each Right / Oblig'n

Apply the following rules with respect to each right or obligation to redeem or purchase stock.

Can the right or obligation to redeem or purchase stock only be exercised upon death, disability or mental incompetency of the holder?

Death, Disability, etc.

Was the transfer in connection with the performance of services represent reasonable compensation?

For Services

Did the transfer in connection with the performance of services represent reasonable compensation?

Reas. Comp.

Can the right be exercised only upon the holder's separation from service from the issuer or a related person?

Sep. from Service

Disregard

Disregard the right or obligation in the boxes below.

The stock is not nonqualified preferred stock.

The stock is not nonqualified preferred stock.

Does the stock participate in corporate growth to any significant extent (must be a real and meaningful likelihood of the shareholder actually participating in the earnings and growth of the company)?

Partic. In Growth

Does the stock limited and preferred as to dividends?

Div. Rate Varies

Is the redemption or the purchase will be exercised?

Remote Likelihood

Is the obligation to redeem or purchase subject to a contingency which (as of the issue date) makes the likelihood of redemption / purchase remote?

Remote Likelihood

Is the issue required to redeem or purchase the stock?

Issuer Obligation

Does the holder have the right to require the issuer (or a related person) to redeem or purchase the stock?

Remote Likelihood

Is the obligation to redeem or purchase subject to a contingency which (as of the issue date) makes the likelihood of redemption / purchase remote?

Remote Likelihood

Does the issuer (or a related person) have the right to redeem or purchase the stock?

Issuer Right

Is the issue required to redeem or purchase the stock?

MLTN

Remote Likelihood

Is it more likely than not that the issuer's right will be exercised?

MLTN

The stock is the not nonqualified preferred stock.